

AF/3621  
Rhw

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Sharon Liu, et al.

Serial No.: 09/483,723

Filed on: January 14, 2000

) Confirmation No.: 8755  
)  
) Examiner: JAMES A. REAGAN  
)  
) Group Art Unit No.: 3621  
)

For: OBJECT ORIENTED MECHANISM FOR DYNAMICALLY CONSTRUCTING  
CUSTOMIZED IMPLEMENTATIONS TO ENFORCE RESTRICTIONS

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

- \_\_\_\_\_ 37 C.F.R. §1.97(b).
- X   37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:
- X   A statement pursuant to 37 C.F.R. §1.97(e)

\_\_\_\_\_ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first

cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

  X   1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

       A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

       37 C.F.R. §1.97(d). If so, then this Information Disclosure Statement includes the following:

       A statement pursuant to 37 C.F.R. §1.97(e)

       1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR

       1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

AND

       A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.

       37 C.F.R. §1.97(i). Wherein applicants are submitting references before the grant of a patent to be placed in the file but not considered by the Patent office.

- (1) Accordingly, copies of the references as listed on the attached Form PTO 1449 are submitted herewith for placement in the file. No certification or fees are deemed necessary.

The Examiner's attention is directed to the following related application(s) for the following reasons (as indicated by an "X" to the left of the appropriate paragraph):

\_\_\_\_\_ The Examiner is hereby notified that the following related application has been filed:

U.S. Application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_.

This related application contains one or more claims that may be substantially similar to one or more claims in the present application. Therefore, the Examiner is encouraged to reference the file history of the related application as some of the information contained therein may be material to the examination of the present application.

  X   The Examiner is hereby notified that the present application is related to the following related application(s):

U.S. Application Serial No. 09/483,726, filed on January 14, 2000.

U.S. Application Serial No. 09/483,246, filed on January 14, 2000.

U.S. Application Serial No. 09/483,724, filed on January 14, 2000.

U.S. Application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_.

U.S. Application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_.

The related application(s) contain(s) one or more claims that may be substantially similar to one or more claims in the present application, those claims may have been rejected in the related application(s), and a different interpretation may have been applied to one or more of the references that are of record in the present application. Therefore, the Examiner is encouraged to review the file history(ies) of the related application(s) as some of the information contained therein may be material to the examination of the present application.

  X   The Examiner is hereby notified that for the following related application(s) an Office Action has been received as indicated below:

U.S. Application Serial No. 09/483,726, filed on January 14, 2000 (Office Action mailing date June 8, 2004).

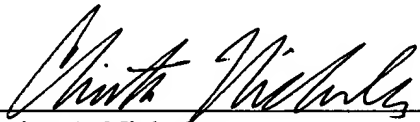
The related application(s) contain(s) one or more claims that may be substantially similar to one or more claims in the present application, those claims may have been rejected in the related application(s), and a different interpretation may have been applied to one or more of the references that are of record in the present application. Therefore, the Examiner is encouraged to review the file history(ies) of the related application(s) as some of the information contained therein may be material to the examination of the present application.

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: July 8, 2004

  
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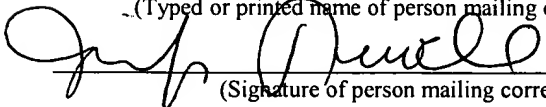
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

July 8, 2004  
(Date of Deposit)

Jennifer Newell

\_\_\_\_\_  
(Typed or printed name of person mailing correspondence)

  
\_\_\_\_\_  
(Signature of person mailing correspondence)

## A circular stamp from the Office of Intellectual Property (OIP). The text "OIP" is at the top, "JUL 12 2004" is in the center, and "PATENT &amp; TRADEMARK OFFICE" is around the bottom. The stamp is partially cut off by the right edge of the page.